

TATA CHEMICALS LIMITED

Annexure - I

Format of holding of specified securities

- 1

Name of Listed Entity

TATA CHEMICALS LIMITED
- 2

Scrip Code/Name of Scrip/Class of Security

500770/TATACHEM/EQUITY
- 3

Share Holding Pattern Filed under

Reg. ~~31(1)(a)~~/Reg. 31(1)(b)/Reg.~~31(1)(e)~~
- a.

If under 31(1)(b) then indicate the report for Quarter ending

30th September, 2016
- b.

If under 31(1)(c) then indicate date of allotment/extinguishment

NOT APPLICABLE

- 4

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5

The tabular format for disclosure of holding of specified securities is as follows:-



TATA CHEMICALS LIMITED																
Table I - Summary Statement holding of specified securities as of 30th September, 2016																
Cate- gory	Category of shareholder	Nos. of share- holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities (calculated as per SCRR, 1957)	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
											(XII) No.	As a % of total Shares held	(XIII) No.	As a % of total Shares held		
																(a)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX) No of Voting Rights			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XIV)			
								Class eg: X	Class eg: Y	Total	Total as a % of (A+B+C)	No.	As a % of total Shares held	No.	As a % of total Shares held	
(A)	Promoter & Promoter Group	14	78472357	0	0	78472357	30.80	78472357	0	78472357	30.80	0	0.00	3723648	4.75	78472157
(B)	Public	181070	176283921	0	0	176283921	69.20	176283921	0	176283921	69.20	0	0.00	NA	NA	168693073
(C)	Non Promoter- Non Public	0	0	0	0	0	NA	0	0	0	0.00	0	0.00	NA	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0
	Total	181084	254756278	0	0	254756278	100.00	254756278	0.00	254756278	100.00	0	0.00	3723648	1.46	247165230



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group as of 30th September, 2016

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group as of 30th September, 2016																			
Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities (including Warrants)	No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form				
											No.	As a % of total Shares held	No.	As a % of total shares held					
																(xii)		(xiii)	
																(a)	(b)	(a)	(b)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) = (vii)+(viii) as a % of A+B+C2	(ix)		(x)		(xi)		(xv)					
									Total as a % of Total Voting rights										
									Class X		Class Y		Total						
(1) Indian																			
(a) Individuals/Hindu undivided Family																			
(b) Central Government/State Government(s)																			
(c) Financial Institutions/ Banks																			
(d) Any Other (Bodies Corporate)		14	78472357	0	0	0	30.80	78472357	0	78472357	30.80	0	0.00	3723648	4.75				
(i) Tata Sons Limited	AAACT4060A	1	49306423	0	0	0	19.35	49306423	0	49306423	19.35	0	19.35	0	0.00	78472157			
(ii) Tata Investment Corporation Limited	AAACT4120P	1	15200001	0	0	0	5.97	15200001	0	15200001	5.97	0	5.97	0	0.00	49306373			
(iii) Tata Global Beverages Limited	AAACT0602K	1	1185522	0	0	0	4.39	1185522	0	1185522	4.39	0	4.39	0	0.00	15200001			
(iv) Swati Investments Limited	AAACT346C	1	1185522	0	0	0	4.39	1185522	0	1185522	4.39	0	4.39	0	0.00	1185522			
(v) Sinto Investment Company Limited	AAACT3546C	1	518000	0	0	0	0.20	518000	0	518000	0.20	0	0.20	0	0.00	1185522			
(vi) Sir Dorabji Tata Trust	AAACT5494G	1	259425	0	0	0	0.10	259425	0	259425	0.10	0	0.10	0	0.00	518000			
(vii) Vohra Limited	AAACT2895D	1	200440	0	0	0	0.08	200440	0	200440	0.08	0	0.08	0	0.00	259425			
(viii) Tata Coffee Limited	AAACT0241R	1	160000	0	0	0	0.06	160000	0	160000	0.06	0	0.06	0	0.00	200440			
(ix) Tata Industries Limited	AAACT4058L	1	77647	0	0	0	0.03	77647	0	77647	0.03	0	0.03	0	0.00	160000			
(x) Tata Motors Limited	AAACT2727Q	1	70249	0	0	0	0.03	70249	0	70249	0.03	0	0.03	0	0.00	77647			
(xi) Sri Raman Tata Trust	AAACT1013P	1	46699	0	0	0	0.02	46699	0	46699	0.02	0	0.02	0	0.00	70249			
(xii) J R D Tata Trust	AAATD165F	1	10060	0	0	0	0.00	10060	0	10060	0.00	0	0.00	0	0.00	46699			
(xiii) Shiba Properties Limited	AAACT0591F	1	560	0	0	0	0.00	560	0	560	0.00	0	0.00	0	0.00	10060			
(xiii) Titan Company Limited	AAACT5131A	1	560	0	0	0	0.00	560	0	560	0.00	0	0.00	0	0.00	560			
Sub-Total (A)(1)		14	78472357	0	0	0	30.80	78472357	0	78472357	30.80	0	30.80	0	4.75	78472157			
(2) Foreign																			
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)																			
(b) Government																			
(c) Institutions																			
(d) Foreign Portfolio Investor																			
(e) Any Other (specify)																			
Sub-Total (A)(2)																			
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		14	78472357	0	0	0	30.80	78472357	0	78472357	30.80	0	30.80	0	4.75	78472157			
Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			
Note:																			
(1) PAN would not be displayed on website of Stock Exchange(s).																			
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(1) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																			

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note: (1) PAN would not be displayed on website of Stock Exchanges. (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(1) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Table III - Statement showing shareholding pattern of the Public shareholder as of 30th September, 2016

Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding as a % assuming conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
								No of Voting Rights	Class X	Class Y	Total	Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (not applicable)	As a % of total shares held (Not applicable)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	(VIII)						(a)	(b)	(a)	(b)
Institutions																
(a) Mutual Funds/UTI		151	37416664	0	0	37416664	14.69		37416664	0	37416664	14.69	0	0	0	37395090
(b) Icici Prudential Mutual Fund	AAAA0038F	17	12533150	0	0	12533150	4.92		12533150	0	12533150	4.92	0	0	0	12533150
(c) Birla Sun Life Trustee Company Private Limited	AAATB0102C	10	5393892	0	0	5393892	2.12		5393892	0	5393892	2.12	0	0	0	5393892
(d) UTI Fund	AAATU1088L	11	4496177	0	0	4496177	1.76		4496177	0	4496177	1.76	0	0	0	4496177
(e) Venture Capital Funds		0	0	0	0	0	0.00		0	0	0	0.00	0	0	0	0
(f) Alternate Investment Funds		0	0	0	0	0	0.00		0	0	0	0.00	0	0	0	0
(g) Name (Xyz) Foreign Venture Capital Investors		0	0	0	0	0	0.00		0	0	0	0.00	0	0	0	0
(h) Name(Xyz) Foreign Portfolio Investors		182	49255622	0	0	49255622	19.33		49255622	0	49255622	19.33	0	0	0	49253022
(i) Franklin Templeton Investment Funds	AABCT5310J	1	9656142	0	0	9656142	3.79		9656142	0	9656142	3.79	0	0	0	9656142
(j) Government Pension Funds Global	AACCN1454E	1	7666400	0	0	7666400	3.01		7666400	0	7666400	3.01	0	0	0	7666400
(k) Financial Institutions/ Banks		153	400679	0	0	400679	0.16		400679	0	400679	0.16	0	0	0	359870
(l) Name(Xyz)																



Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form	
								(IX)						(XII)				
								(X)						No.	As a % of total Shares held	No. (not applicable)	As a % of total shares held (Not applicable)	
								Class X	Class Y	Total	Total as a % of Total Voting rights							
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii = iv+v+vi)	(viii) As a % of (A+B+C2)	(ix)				(x)	(xi) = (vii)-(x) as a % of A+B+C2	(a)	(b)	(a)	(b)	(xiv)
(g) Insurance Companies		32	34002944	0	0	34002944	13.35	34002944	0	34002944	13.35	0	13.35	0	0	NA	34002543	
(f) Icdi Prudential Life Insurance Company Ltd	AAACI7351P	1	12562608	0	0	12562608	4.93	12562608	0	12562608	4.93	0	4.93	0	0	NA	12562608	
(ii) Life Insurance Corporation Of India	AAACL0582H	14	8478183	0	0	8478183	3.33	8478183	0	8478183	3.33	0	3.33	0	0	NA	8477944	
(iii) General Insurance Corporation Of India	AAACG0615N	2	3863850	0	0	3863850	1.52	3863850	0	3863850	1.52	0	1.52	0	0	NA	3863845	
(iv) The New India Assurance Company Limited	AAACH4165C	2	3417850	0	0	3417850	1.34	3417850	0	3417850	1.34	0	1.34	0	0	NA	3417743	
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
Name(Xyz)		148	30467	0	0	30467	0.01	30467	0	30467	0.01	0	0.01	0	0	NA	30467	
(i) Any Other (specify)		666	121106376	0	0	121106376	47.54	121106376	0	121106376	47.54	0	47.54	0	0	NA	121040992	
Sub-Total (B)(1)																		
(2) Central Government/ State Government(s)/ President of India		7	1430684	0	0	1430684	0.56	1430684	0	1430684	0.56	0	0.56	0	0	NA	1359086	
Sub-Total (B)(2)		7	1430684	0	0	1430684	0.56	1430684	0	1430684	0.56	0	0.56	0	0	NA	1359086	
(3) Non-institutions																		
(a) Individuals -		178528	49170570	0	0	49170570	19.30	49170570	0	49170570	19.30	0	19.30	0	0	NA	41789313	
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		178462	46460186	0	0	46460186	18.24	46460186	0	46460186	18.24	0	18.24	0	0	NA	39177058	
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		66	2710384	0	0	2710384	1.06	2710384	0	2710384	1.06	0	1.06	0	0	NA	2612255	
(b) NBFCs registered with RBI		2	2	2	0	2	0.00	2	2	2	0.00	0	0.00	0	0	NA	2	
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
Name(Xyz)																		
Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
Name(Xyz)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
(e) Any Other (specify)		1867	4576289	0	0	4576289	1.80	4576289	0	4576289	1.80	0	1.80	0	0	NA	4503680	
Sub-Total (B)(3)		180397	53746861	0	0	53746861	21.10	53746861	0	53746861	21.10	0	21.10	0	0	NA	46292995	
Total Public Shareholding		181070	176283921	0	0	176283921	69.20	176283921	0	176283921	69.20	0	69.20	0	0	NA	168693073	



Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form					
								(IX)					(X)				(XII)		(XIII)		
								No of Voting Rights					Total as a % of Total Voting rights				No.	As a % of total Shares held	No. (not applicable)	As a % of total shares held (Not applicable)	
Class X			Class Y			Total			(a)	(b)	(a)	(b)									
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																					
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																					
Note:																					
(1) PAN would not be displayed on website of Stock Exchange(s).																					
(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.																					
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.																					



TATA CHEMICALS LIMITED																		
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder as of 30th September, 2016																		
Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form	
								(IX)			(XII)			(XIII)				
								No of Voting Rights	Total as a % of Total Voting rights		No.			As a % of total Shares held	No. (not appli-cable)			As a % of total shares held (Not applicable)
									Class X	Class Y								
(1) Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	NA	0	0	
(a) Name of DR Holder (if available)															NA			
(i) abc....															NA			
(ii) def....															NA			
(2) Employee Benefit Trust under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	NA			
(a) Name (abc....															NA			
Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	NA			
Note																		
(1) PAN would not be displayed on website of Stock Exchange(s).																		
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares																		
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.																		

